Please type a plus sign (+) inside this box -

PTO/SB/21 (08-00)

ease type a plus sign (+) inside this box —> [+] Approved for use through 10/31/2002. OMB 0651-0031

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			Applic	ation Number	10/006,99	2		
TRANSMITTAL			Filing	Dat	December 6, 2001			
FORM				lam d Inventor	STARK, LAWRENCE W.			
(to be used for all correspondence after initial filing)			Group	Art Unit	1615			
				ner Name	Unassigne	ed		
Total Number of Pages in This Submission 13				ey Docket Number	018158-018610US			
	(128)	ENCLO	OSURES	(check all that apply)				
Fee Transmittal Form Assignm (for an A)			ment Pap		After Allowance Communication to Group			
☐ Fee Attached APR 1 5 2002 ☐ Drawing ☐ Amendment ☐ After Final ☐ Petitic and A			g(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment Ames	ponse	Licens	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	RADEMA	Petition and Ac		Slip (PTO/SB/69) ing Petition	Proprie	tary Information		
Affidavits/decl	Petition to Convert to a Provisional Application			Status Letter				
Extension of Time Request (1 MO.)			Power of Attorney			Other Enclosure(s) (please identify below):		
Evergos Abandonment Pequeet			Terminal Disclaimer Request for Refund			urn Postcard		
Information Disclo	CD, N	umber of	CD(s)					
Certified Copy of Priority Document(s)			The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					
Response to Missing Parts/ Incomplete Application				ı				
Response to I Parts under 3 1.52 or 1.53	•							
				ANT, ATTORNEY, O	R AGENT			
Firm and	Townsend and To		Crew LLF		. 44.005			
and Individual name JOHN K. SHIMMICK Reg. No. 44,33								
Signature	Signature Joh X. Alis							
Date Ø5 APRIL 2002								
CERTIFICATE OF MAILING								
I hereby certify that the class mail in an envel	is correspondence is ope addressed to: A	s being depo ssistant Cor	osited with nmission	n the United States Post er for Patents, Washingto	al Service wit on, D.C. 2023	th sufficient postage as first 31 on this date:		
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Signature	Man	cufe	<u>رم</u>	-	Date	04/05/02		

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PA 3213233 v1

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FEE TRANS for FY 2	
Patent fees are subject to	anhal revision.
TOTAL AMOUNT OF PAYMENT	(\$) 2040 MAP

Complete if Known					
Application Number	10/006,992				
Filing Date	December 6, 2001				
First Named Inventor	STARK, LAWRENCE W.				
Examiner Name	Unassigned				
Group Art Unit	1615				
Attorney Docket No.	018158-018610US				

METHOD OF PAYMENT			FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES							
1. Deposit	indicated f	ees and credit any or	ver payments	to:	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account 20-1430			105	130	205	65	Surcharge - late filing fee or oath	130		
						50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Townsend	and Townsend and	Crew LLP		139	130	139	130	Non-English specification	
Account Townsend and Townsend and Crew LLP Name				147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	t claims small e CFR 1.27	ntity status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:					115	110	215	55	Extension for reply within first month	110
☐ Check	☐ Credit c		☐ Othe	er	116	400	216	200	Extension for reply within second month	
Order FEE CALCULATION				117	920	217	460	Extension for reply within third month	<u> </u>	
1. BASIC F	ILING FEE	CALCULATION			118	1,440	218	720	Extension for reply within fourth month	
	Small Entit	у			128	1,960	228	980	Extension for reply within fifth month	
Fee Fee	Fee Fee	Fee Description			119	320	219	160	Notice of Appeal	
Code (\$)	Code (\$)			ee Paid	120	320	220	160	Filing a brief in support of an appeal	
101 740	201 370	Utility filing fee	74	40	121	280	221	140	Request for oral hearing	
106 330 107 510	206 165207 255	Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740	208 370	Reissue filing fee	• [140	110	240	55	Petition to revive – unavoidable	L
114 160	214 80	Provisional filing	fee		141	1,280	241	640	Petition to revive – unintentional	ļ
		- 41 (4)	<u>(e</u>	2740	142	1,280	242	640	Utility issue fee (or reissue)	
	SUBTO	TAL (1)	[(3	5)740	143	460	243	230	Design issue fee	
2. EXTRA CLA	M FEES				144	620	244	310	Plant issue fee	
otal Claims 4	-20** =	Claims bel	e from <u>ow</u> 18 = [Fee Paid \$360	122	130 50	122 123	130 50	Petitions to the Commissioner Petitions related to provisional applications	
dependent 8	-3"		84 =	\$420	126	180	126	180	Submission of Information Disclosure Stmt	
ultiple 1		× [\$	280 =	\$280	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entit Fee Fee		ntity ee – – – – – – – – – – – – – – – – – –	-		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) 103 18		\$) Fee Descripti	Fee Description Claims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102 · 84	202 4	2 Independent of	Independent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)	
104 280	204 1		Multiple dependent claim, if not paid		169				•	ļ
109 84	209 4	original patent	** Reissue independent claims over original patent			900	169	900	Request for expedited examination of a design application	
110 18	210 9	** Reissue cla over original p		s of 20 and	Other fee (specify)					
		SUBTOTAL (2)	(\$)1060		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
		'			*Reduc	ed by Ba	isic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)240	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	John K. Shimmick	Registration No. (Attorney/Agent)	44,335	Telephone	650-326-2400		
Signature	Jush &	Shil		Date	05 APRIL 2002		

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/006,992

12/06/2001

Lawrence W. Stark

018158-018610US

20350

TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 1090

FORMALITIES LETTER

OC00000007378686

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/17/2002 SDIRETA1 00000089 201430 10006992

01 FC:101 740.00 CH 02 FC:103 360.00 CH 03 FC:102 420.00 CH 04 FC:104 280.00 CH 05 FC:105 130.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1024.
 - \$324 for 18 total claims over 20.
 - \$420 for 5 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1894.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE